

Commissioner for Patents  
Washington, DC 20231

JC868 U.S. PTO  
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### INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement accompanies the new patent application submitted herewith of the following Inventors: **Hsien-Jue (Steve) CHU**  
**Wumin LI;**

For: **METHODS AND COMPOSITION FOR ORAL VACCINATION.**

1. Preliminary Statements

In accordance with 37 CFR 1.97 and 1.98, Applicants submit herewith patents, publications, or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56. This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) the information is material to the examination of this application; (iii) additional information material to the examination of this application does not exist; (iv) the information, protocols, results and the like reported by third parties are accurate or enabling; or (v) the information constitutes prior art to the subject invention.

2.  Previously Cited Information

No copy of the patents, publications or other information cited on the attached form PTO-1449 is enclosed because it has been previously cited by or submitted to the Office in a prior application which is relied upon for an earlier filing date under 35 USC 120. Prior application is Serial Number filed on of for

### **CERTIFICATE OF MAILING 37 CFR §1.10**

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date written below in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL 596537980 US addressed to the Commissioner for Patents, Washington, DC 20231.

June 21, 2001  
Date

Cecilia Chessell  
Cecilia Chessell

3.  Newly Cited Information

A legible copy of the patents, publications or other information cited on the attached form PTO-1449 is enclosed, except that no copy of a pending U.S. application is enclosed.

4.  Concise Explanation

Documents cited above which are not in the English Language

- a.  have been explained in the specification.
- b.  have an abstract (or other concise explanation) in English enclosed or, if readily available, a translation into English of the document is enclosed.

5. Form PTO-1449 is enclosed in duplicate.

  
Adley F. Mandel

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